



Headquarters Marine Corps



Information and Personnel Security Program (IPSP) Annual Refresher

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Use arrow keys or click with your mouse to take this training.

PURPOSE

This security brief is a refresher of the basic security information and common procedures that you should be aware of while assigned to Headquarters Marine Corps (HQMC).

The protection of Government assets, people, property, and information both Classified and Unclassified, is the responsibility of all personnel, regardless of how it was obtained.

TOPICS

- HQMC Security Policies and Procedures Update
- Counterintelligence
- Continuous Evaluation Program
- Information Security
- Personal Electronic Devices (PEDS)
- HQMC Security Concerns
- Non-Disclosure Agreement (NDA) SF 312
- Staff Agency/Activity Security Contact Information

HQMC SECURITY POLICIES AND PROCEDURES UPDATE



HQMC SECURITY POLICIES AND PROCEDURES UPDATE

HQMC IPSP Standing Operating Procedures (SOP) August 06, 2013

- This SOP represents the minimum requirements for HQMC IPSP program management and is published under the cognizance of the HQMC Security Manager.
- Staff Agency/Activity heads may impose more stringent security requirements within their Staff Agency/Activity if desired, but not more lenient.
- Security Note 02-15 Policy for Handling and Safeguarding NATO Material, supersedes enclosure (5) section (d), of the HQMC IPSP SOP.
- All military, civilian and government contractor personnel assigned to HQMC will comply with the provisions of the HQMC IPSP SOP.

HQMC SECURITY POLICIES AND PROCEDURES UPDATE

Security Notes

- HQMC Security Manager periodically disseminates Security Notes to all Staff Agencies/Activities concerning new or modified security related information, changes in procedures, problem areas, or to direct attention to specific matters.
- 04-15: Procedures for Ordering Security Containers at HQMC.
- 05-15: Procedures for Requesting Physical Security Services at HQMC.
- 06-15: Sponsorship for Pentagon and Pentagon Reservation Access.
- 07-15: Periodic Reinvestigation (PR).

COUNTERINTELLIGENCE



COUNTERINTELLIGENCE

What is counterintelligence?

- Is information gathered and activities conducted to protect against espionage, other intelligence activities, sabotage, assassinations conducted for or on behalf of foreign powers, organizations, persons, or international terrorist activities.

What can be a threat?

- Foreign governments, competitors, or insiders (i.e., coworker).

How is information collected?

- Direct and indirect requests for information (e.g., e-mails, phone calls, conversations). A simple request can net a piece of information helpful in uncovering a larger set of facts.
- Solicitation or Marketing of services – foreign owned companies pursue business relationships to gain access to sensitive or classified information, technologies, or projects.
- Public Venues – conferences, conventions, symposiums and trade shows offer opportunities for adversaries to gain access to information and experts in dual-use and sensitive technologies.
- Official Foreign Visitors and Exploration of Joint Research – foreign government organizations, including intelligence and security services, consistently target and collect information through official contacts and visits.
- Foreign Targeting of U.S. Travelers Overseas – collection methods include everything from soliciting information during seemingly innocuous conversations and eavesdropping on private telephone conversations, to downloading information from digital storage devices.

To counter these threats, it is important to REPORT these occurrences. Any vulnerability, no matter how seemingly inconsequential, should be reported to Staff Agency/Activity Security Coordinator or HQMC Security Manager as soon as possible.

CONTINUOUS EVALUATION PROGRAM (CEP)



CONTINUOUS EVALUATION PROGRAM

What it is

- It ensures those granted eligibility remain eligible through continuous assessment & evaluation
- We must report ANY information that may affect clearance eligibility

What it is not

- Automatic grounds to terminate employment
- Automatically revoking eligibility

Who it is for

- It applies to ALL military, civilian and government contractor personnel.

Who is responsible for reporting

- EVERYONE

What's reported

- Information pertaining to the 13 adjudicative guidelines, as identified on slide 12

CONTINUOUS EVALUATION PROGRAM

This program relies on ALL HQMC personnel to report questionable or unfavorable information which may be relevant to a security clearance determination.

Individuals

- Report to Supervisor, Security Coordinator, or HQMC Security Manager & seek assistance

Co-workers

- Advise Supervisor, Security Coordinator, or HQMC Security Manager

Supervisors/Leadership

- Recognize problems early; react appropriately to ensure a balance is maintained regarding individual's needs and national security issues; report to Security Coordinator or HQMC Security Manager

YOU MUST REPORT:

(Self-report and Indicators Exhibited by Others)

Divided Loyalty or
Non Allegiance to
the U.S.

Emotional, Mental,
and Personality
Disorders

Sexual
Behavior

Misuse of IT
Systems

Financial
Considerations

Alcohol
Consumption

Drug
Involvement

Foreign
Preference

Criminal Conduct

Personal Conduct

Foreign Influence

Foreign Outside
Activities

Security Violations

NOTE 1: Command personnel are encouraged to review their credit reports as a value to forestall potential financial problems.

NOTE 2: Combat veterans or victims of sexual assault suffering from Post Traumatic Stress Disorder (PTSD), who seek mental health care will not, in and of itself adversely impact that individual's ability to obtain or maintain their eligibility.

PTSD IS NOT A DISQUALIFYING FACTOR.

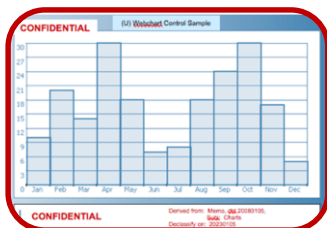
INFORMATION SECURITY



INFORMATION SECURITY

TYPES OF CLASSIFIED INFORMATION

Classified information can include any of these and must be properly marked:



Charts



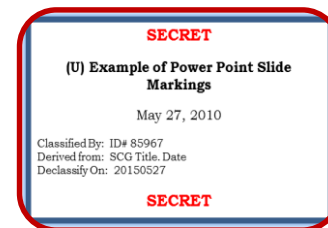
Maps, Photographs



Publications/Manuals



Documents, Reports,
Messages



Briefing/Presentation
slides



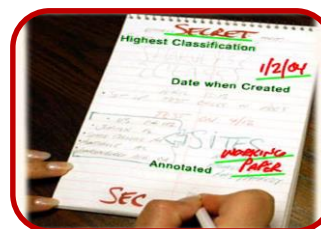
Machinery, Faxes,
Scanners, Tablets



CD, DVD, External
Hard Drives



Blogs, Web pages,
Emails



Working papers



Reproductions

A descriptive guide outlining the proper procedures for marking classified information can be found at: <http://www.archives.gov/isoo/training/marking-booklet.pdf>

INFORMATION SECURITY

TYPES OF CLASSIFICATION

Original:

- An initial determination, in the interest of national security, to protect information against unauthorized disclosure.
- Authority designated by SECNAV authorizing officials to originally classify information at a given level.
- Original Classification Authority (OCA) granted by virtue of position held. Authority not transferrable.
- Training required before exercising authority.
- OCAs must have jurisdiction over information they are classifying for the first time and must use 1 or more of the reasons for classification as described in Sec. 1.4 of EO 13526.
- OCA decisions codified in Security Classification Guides.

Derivative:

- Classification markings applied to material derived from classified source material by incorporating, paraphrasing, restating, or generating in a new form.
- Marking the newly developed material consistent with the classification markings that apply to the source information.
- Receive training Annually
- Observe and respect OCA determinations.
- Observe and respect original markings.
- Carry forward declassification instructions (using the most stringent).
- Use only authorized sources.
- Use caution when paraphrasing.
- Derivative Classifiers are identified on documents they have derivatively classified.
- List all sources.
- All authorized military, civilian, and contractor personnel can be derivative classifiers.

INFORMATION SECURITY

AUTHORIZED SOURCES

Security Classification Guide (SCG)

- Are the primary source guide for derivative classification and are prepared by an OCA. An SCG contains a collection of precise, comprehensive guidance about specific program, system, operation, or weapons systems identifying what elements of information are classified. For each element of information, the SCG includes its classification level, the reason(s) for that classification, and information about when that classification will be downgraded or declassified.

Properly Marked Source Document

- Is an existing properly marked memo, message, letter, email, etc., from which information is extracted, paraphrased, restated, and/or generated in a new form or inclusion in another document. If there is an apparent marking conflict between a source document and an SCG regarding a specific item of information, derivative classifiers must follow the instructions in the SCG.

DD 254

- Provides classification guidance to contractors performing on classified contracts. The form identifies the level of information they will need to access, the required level of security clearance for access, and the performance requirements.

INFORMATION SECURITY

MARKING

What is marking?

- The physical act of indicating the highest classification level for classified information is clearly identified, to ensure the proper protection and safeguards are adhered to.

Why is classified information marked?

- Alert holders of the presence of classified information.
- Ensure proper handling controls and special safeguards are adhered to.
- Identifies the office of origin and document originator applying the classification markings.
- Prevent unauthorized disclosure.
- Inform the holders of the level of protection required and duration of classification.

Who is responsible for marking?

- It is the responsibility of the Original Classifier and Derivative Classifier (Normally Action Officers) to properly mark classified documents.

What are the marking requirements?

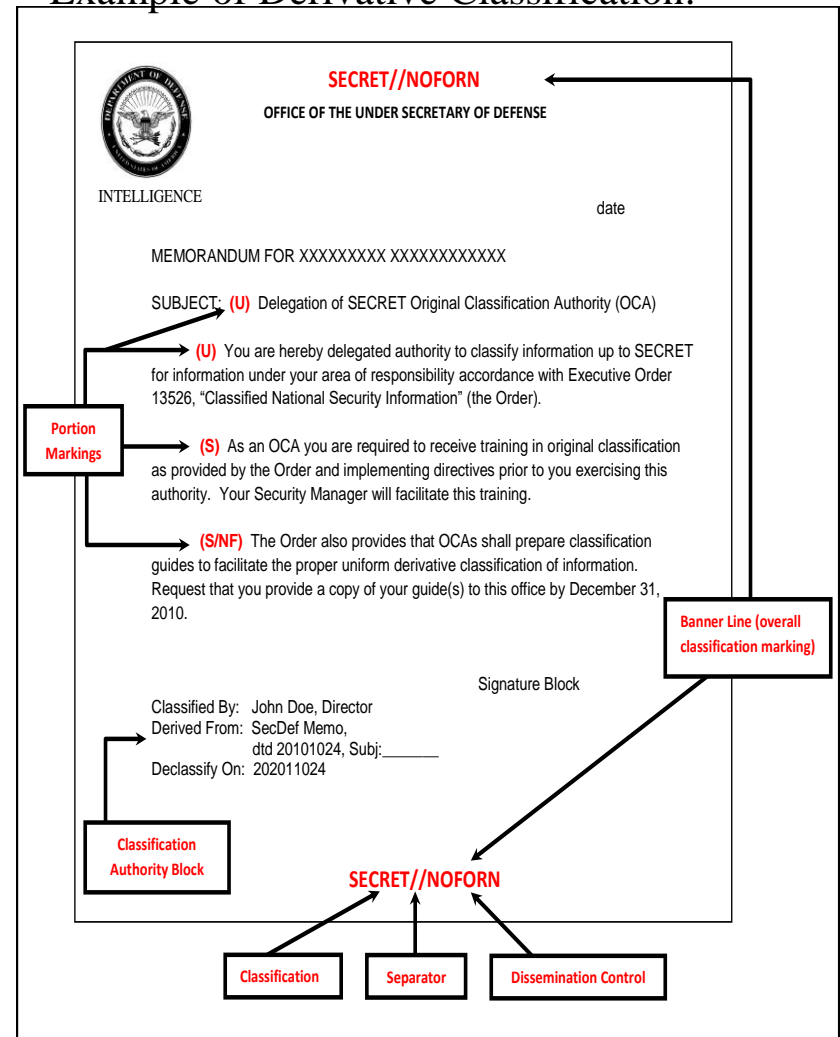
- Examples of the required markings are outlined on slides 18 and 19.

INFORMATION SECURITY

MARKING REQUIREMENTS

- All classified information shall be clearly identified by electronic labeling, designation, or marking. Must bear the following markings:
 - Banner markings must be applied on the top and bottom of all pages to include cover pages.
 - Portion Markings.
 - The Agency and office of origin.
 - Date of origin.
 - “Classified by” for original AND derivatively classified documents, “Name and Position”.
 - Reason (original classification only).
 - “Derived from” line for derivatively classified documents, “Sources must be listed”.
 - Declassification instructions, YYYYMMDD format.
 - Downgrading instructions, if applicable.
 - Dissemination control notices (front page).

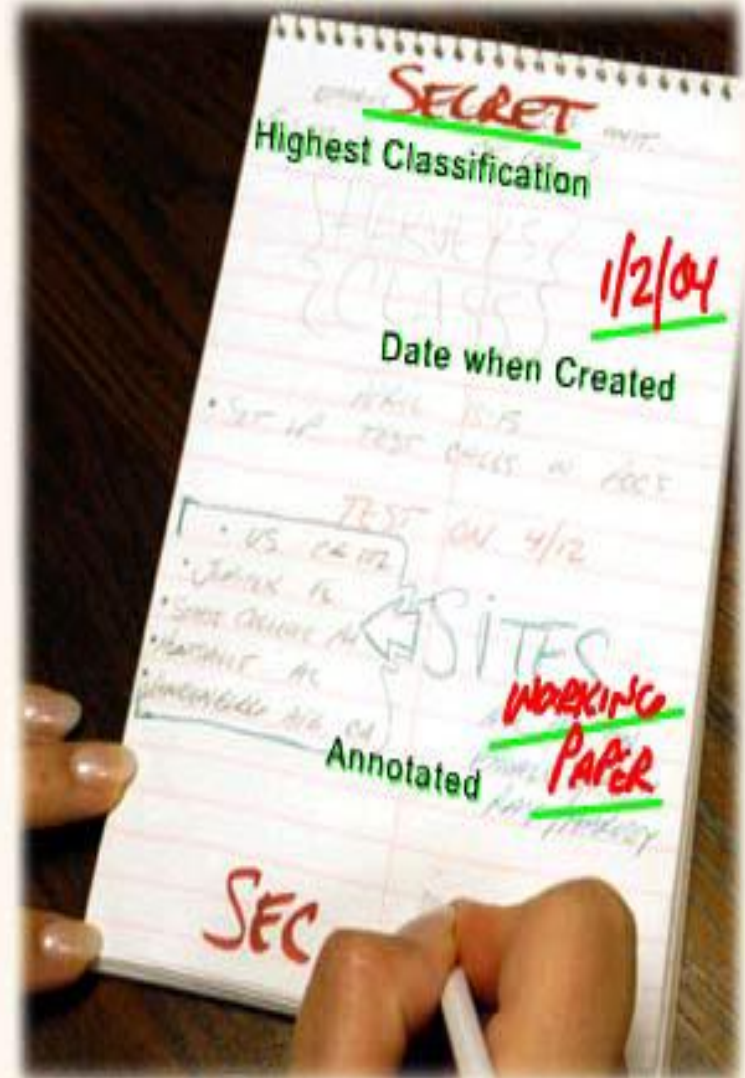
Example of Derivative Classification:



INFORMATION SECURITY

WORKING PAPERS

- Any notes taken from a training course, brief, presentation, conference, including research notes, rough drafts, and similar items that contain classified information.
- These notes shall be:
 - Marked with Highest Classification.
 - Protected in accordance with the measures required for the assigned classification.
 - Dated when created.
 - Annotated “Working Paper”.
 - Marked as Final Document:
 - 180 Days.
 - Transferred.
 - Properly destroyed when no longer needed.
 - Properly transported.
 - Emails are not working papers.
 - All TS “working papers” must be marked and treated as final document.



INFORMATION SECURITY

STANDARD FORMS (SF)

Purpose

- These forms serve the purpose of providing identification, control, and safeguarding of classified and sensitive information.
- For instructions and use of all these standard forms refer to the HQMC IPSP SOP. Note: Not all inclusive.

SF 700

- Provides the names, addresses and telephone numbers of employees who are to be contacted if the security container to which the form pertains is found open and unattended.

SF 701

- Provides a systematic means to perform a thorough end-of-day security inspection for a particular work area and to allow for employee accountability in the event irregularities are discovered.

SF 702

- Provides a record of the names and times persons have opened, closed and checked a particular container that holds classified information.

SF 710

- In a mixed environment in which classified and unclassified information are being processed or stored, SF 710 is used to identify media containing unclassified information. Its function is to aid in distinguishing among those media that contain either classified or unclassified information in a mixed environment.

SF 700

SF 701

SF 702

SF 710



INFORMATION SECURITY

Classified Information SHALL NOT be declassified as a result of a spillage or unauthorized disclosure through unofficial open sources (e.g., news media, periodicals, and public web sites). When asked to verify, personnel should:

- Not confirm or deny the existence of potentially classified information in the public domain, and report the incident to your Staff Agency/Activity Security Coordinator or HQMC Security Manager.
- Not contribute to further dissemination of the potentially classified information by accessing websites or social media sites on unclassified IT systems where the information may reside.
- Ensure classified information is only disclosed to personnel with Authorized Clearance, Access, Need to Know, and only via authorized channels and systems.

INFORMATION SECURITY

ADDITIONAL GUIDANCE

Safeguard reminders:

- Classified information or material will be used only where there are facilities or conditions adequate to prevent unauthorized persons from gaining access to the information.
- Persons in possession of classified material are responsible for safeguarding the material at all times.
- Individuals will not remove classified material from designated offices or work areas except in the performance of their official duties and under the conditions required by the HQMC IPSP SOP.
- When it is mission critical for individuals to remove classified information and materials for work at home, specific security measures and approvals are required see Security Note 06-13 for guidance.
- Sanitize all office spaces where classified material is stored, processed, or discussed when uncleared personnel are performing repairs, routine maintenance, or cleaning.

Training and support:

- ARS provides Staff Agencies/Activities continuous training opportunities for agency personnel, please contact the HQMC Security Office at (703) 614-3609 for availability.

INFORMATION SECURITY

CONTROLLED UNCLASSIFIED INFORMATION (CUI)

National Policies

- EO 13556
- 32 CFR Part 2002

DoD Policies

- DoDI 5200.48, Controlled Unclassified Information

Definition

- CUI is unclassified information that requires safeguarding or dissemination controls, pursuant to and consistent with applicable law, regulations, Government wide policies.

Responsibilities and Penalties for Mishandling

- All personnel of the Department of Defense (DoD) are personally and individually responsible for properly protecting CUI under their custody and control.
- Under 32 CFR Part 2002, DoD military, civilian, contractor personnel may be subject to criminal or administrative sanctions if they knowingly, willfully, or negligently disclose CUI to unauthorized persons.

INFORMATION SECURITY

CONTROLLED UNCLASSIFIED INFORMATION (CUI)

Protection

- FOUO and other CUI may NOT be posted to publicly-accessible Internet sites and may NOT be posted to sites whose access is controlled only by domain (e.g., limited to .mil and/or .gov) as such restricted access can easily be circumvented. At a minimum, posting CUI to a website requires certificate-based (e.g., common access card) or password and ID access as well as encrypted transmission using hypertext transfer protocol secure (https) or similar technology.
- During working hours, reasonable steps shall be taken to minimize the risk of access by unauthorized personnel (e.g., not reading, discussing, or leaving CUI unattended where unauthorized personnel are present). After working hours, CUI may be stored in unlocked containers, desks, or cabinets if Government or Government-contract building security is provided. If such building security is not provided or is deemed inadequate, the information shall be stored in locked desks, file cabinets, bookcases, locked rooms, etc.

Public Release

- DoD Information for Public Release, requires that a security and policy review be performed on all official DoD information intended for public release that pertains to military matters, national security issues, subjects of significant concern to the DoD and information intended for placement on publicly accessible websites or computer servers. Documents proposed for public release shall first be reviewed at the Staff Agency/Activity levels as required by SECNAVINST 5720.44B “Public Affairs Policy and Regulation” and may or may not be found suitable for public release without higher level consideration.

Threats from Foreign Entities

- There are many threats and techniques that foreign intelligence activities may use to gain access to (CUI).
- Examples: Airport screening or hotel room incursions, Fraudulent purchase requests or market surveys, and attempts to lure personnel into situations that could lead to bribery, blackmail, or extortion.

PERSONAL ELECTRONIC DEVICES (PEDS)



PERSONAL ELECTRONIC DEVICES (PEDS)

MEMORANDUM DTD 22 MAY 2018 FROM THE DEPUTY SECRETARY OF DEFENSE: Mobile Device Restrictions in the Pentagon

- This memorandum establishes restrictions for mobile devices anywhere within the Pentagon that is designated or accredited for the processing, handling, or discussion of classified information.
- Applies to all Department of Defense (DoD) and Office of the Secretary of Defense (OSD) Components ("Components"), as well as military personnel, civilian employees, contractors, and visitors in the Pentagon.
- This does not apply to approved medical devices. In evaluating requests to approve medical devices for civilian employees, an individualized assessment will be made consistent with the requirements of the Rehabilitation Act of 1973, as amended.
- This does not apply to mobile devices having minimal storage and transmission capabilities such as key fobs used for medical alert, motor vehicles, or home security systems. This does not apply to fitness trackers that do not contain camera, microphone, cellular, or Wi-Fi technology.

PERSONAL ELECTRONIC DEVICES (PEDS)

Policy:

- Personal and Government mobile devices that transmit, store, or record data are prohibited inside secure spaces within the Pentagon. Mobile devices may be used in common areas and spaces within the Pentagon that are not designated or accredited for the processing, handling, or discussion of classified information.
- Mobile devices must be stored in daily-use storage containers that are located outside the secure space. Devices must be powered off prior to being stored, and must remain powered off until retrieved.
- Signs displaying the prohibition and control procedures are posted outside all secure spaces.

PERSONAL ELECTRONIC DEVICES (PEDS)

Exceptions:

- Medical devices that have been approved based on individualized assessments consistent with the requirements of the Rehabilitation Act of 1973, as amended.
- Mobile devices having minimal storage and transmission capabilities such as key fobs used for medical alert, motor vehicles, or home security systems. This does not apply to fitness trackers that do not contain camera, microphone, cellular, or Wi-Fi technology.

PERSONAL ELECTRONIC DEVICES (PEDS)

Security Violations and Enforcement:

- Failure to abide by the rules promulgated in this memorandum and other applicable laws and regulations regarding security violations involving classified information may subject military members, civilian employees, and contractors to appropriate disciplinary and/or administrative actions, fines, or other appropriate actions, and may result in a review of the individual's security clearance eligibility. Also, military members may be subject to punishment under chapter 47 of the United States Code (also known as "the Uniform Code of Military Justice" or "UCMJ"). The Secretaries of the Military Departments will maintain regulations that make punishable, under Article 92 of the UCMJ, any violation of the restrictions imposed by this memorandum by persons subject to the UCMJ.
- In accordance with applicable rules and regulations regarding physical access to the Pentagon, persons who violate this policy may be denied access thereto.

PERSONAL ELECTRONIC DEVICES (PEDS)

Definitions:

- **Secure Space:** An area that has been designated or accredited for the processing, handling, or discussion of classified information.
- **Mobile Device:** Also referred to as a portable electronic device, a mobile device is a portable computing device that: (i) has a small form factor such that it can easily be carried by a single individual; (ii) is designed to operate without a physical connection (e.g., wirelessly transmit or receive information); (iii) possesses local, non-removable data storage; and (iv) is powered-on for extended periods of time with a self-contained power source. Mobile devices include but are not limited to laptops, tablets, cellular phones, smartwatches, and other devices with these characteristics, but exclude those devices described in 2.c, 2.d, and 2.e.

HQMC SECURITY CONCERNS



HQMC SECURITY CONCERNS

IT Spillages:

- Classified IT data spills occur when classified data is introduced either onto an unclassified information system, or to an information system with a lower level of classification, or to a system not accredited to process data of that restrictive category (i.e., inserting a Secret CD into a unclassified computer, e-mailing classified information over the NIPRnet or making copies of a Secret document on an unclassified copier).

Classified material improperly marked:

- Improperly marked information can lead to serious damage of national security which can be exploited by our adversaries.
- Remember the purposes of marking:
 - Alerts the holder to the presence of classified information.
 - Eliminates any doubt about the classification level.

Prevention:

- **Personnel must commit to a disciplined practice of information security and continue to refresh themselves so they don't become a point of vulnerability.**
- **Anyone with access to classified information is individually responsible for safeguarding that information!**



HQMC SECURITY CONCERNS

DOD credentials left unattended:

- The Common Access Card (CAC) technology allows for rapid authentication and enhanced security for all physical and logical access. A CAC offers a variety of functions; the credentials embedded in the CAC can give access to a variety of systems.
- Don't leave unattended CAC's in computers.
- Don't write pin's down anywhere.

Combinations:

- Writing combinations on a “sticky note” makes it very easy for unauthorized personnel to obtain access to classified material.
- Combinations are classified = classified material being safeguarded.
- When to change combinations:
 - Upon initial use of container.
 - When a person with knowledge of combination, no longer requires access.
 - If combination may have been compromised.

Reminders:

- **Know the tools that are available (i.e., Standard Forms, Containers, etc.)**
- **Know the procedures that are in place to deter or delay inadvertent disclosure and spoil attempts to compromise classified material.**

NON-DISCLOSURE AGREEMENT (NDA) SF 312



NON-DISCLOSURE AGREEMENT (NDA) SF 312

What is an NDA SF 312?

- An official authorized contract between an individual and the United States Government signed by the individual as a condition of access to classified national security information. The NDA SF 312 specifies the security requirements for access and details the penalties for non-compliance.

What is its purpose?

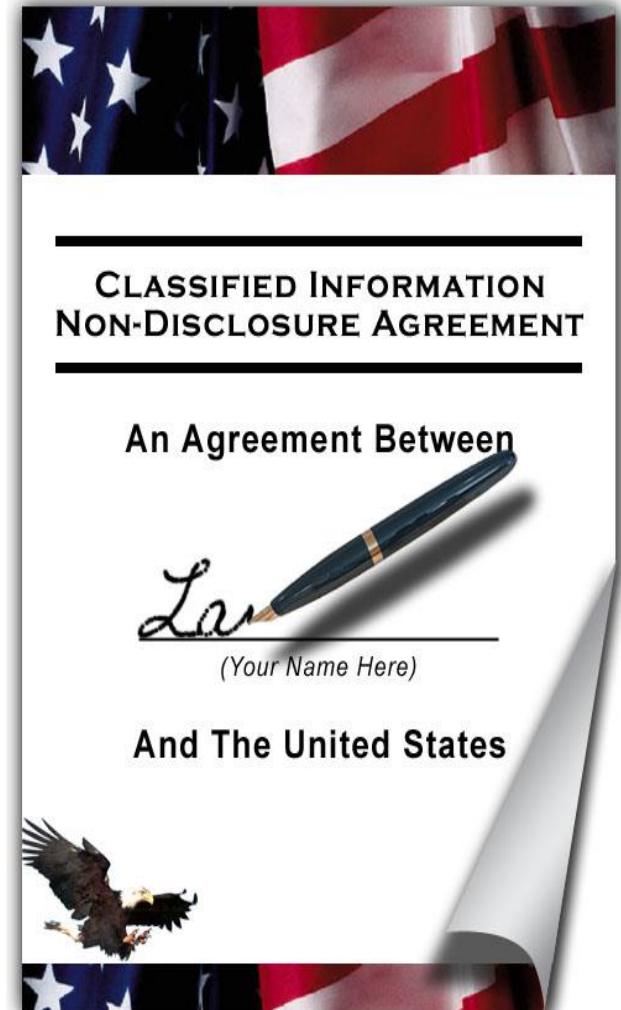
- To inform employees of (a) the trust that is placed in them by granting them access to classified information; (b) their responsibilities to protect that information from unauthorized disclosure; and (c) the consequences that may result from their failure to meet those responsibilities.

Who signs the NDA SF 312?

- Before being granted access to classified information, all personnel must sign an NDA SF 312, “Classified Information Nondisclosure Agreement”. Electronic signatures will not be used to execute the NDA SF 312.

What are the penalties?

- The penalties for violating this agreement are severe and may include the loss of accesses, termination of position, fines, and imprisonment.



STAFF AGENCY/ACTIVITY SECURITY CONTACT INFORMATION



STAFF AGENCY/ACTIVITY SECURITY COORDINATOR CONTACT INFORMATION

Assistant Commandant of the Marine Corps (ACMC)

- (703) 614-1201

Administration and Resource Management Division (AR)

- (703) 614-1837

Headquarters Marine Corps Aviation Department (AVN)

- (703) 614-2356

Command, Control, Communications and Computers (C4)

- (703)693-3464 or (703) 693-3463

Counsel for the Commandant (CL)

- (703) 614-2150

Commandant of the Marine Corps (CMC)

- (703) 614-1743 or (703) 614-2500

Deputy Commandant for Information (DCI)

- (703)639-8691

Director of Marine Corps Staff (DMCS)

- (703) 697-1668

Force Preservation Directorate (G10)

- (703) 692-5374

Headquarters and Service Battalion (H&S BN)

- (703) 614-2014

Health Services (HS)

- (703) 604-4602

Installations and Logistics (I&L)

- (703) 614-6706 or (703) 695-8655

Inspector General of the Marine Corps (IG)

- (703) 604-4626

Intelligence Department (Intel)

- (703) 614-2522

Staff Judge Advocate to the Commandant (JA)

- (703) 693-8673 or (703) 693-8401

Manpower and Reserve Affairs (M&RA)

- (703) 784-9012 (QUAN) or (703) 695-1929 (PNT)

Marine Corps Recruiting Command (MCRC)

- (703) 784-9430

Office of Legislative Affairs (OLA)

- (703) 614-1686 or (703) 692-0199

Office of Marine Forces Reserve (OMFR)

- (703) 604-4563

Office of Marine Corps Communications (OMCC)

- (703) 614-8010 or (703) 614-2445

Plans, Policies and Operations (PP&O)

- (703) 614-8497 or (703) 614-8487

Programs and Resources (P&R)

- (703) 614-1080 or (703) 614-3596

Chaplain of the Marine Corps (REL)

- (703) 614-3673

Safety Division (SD)

- (703) 604-4463

Special Projects Directorate (SPD)

- (703) 614-1515

Special Security Office SSO

- (703) 614-3350

Congratulations!!

**You have completed the Headquarters Marine Corps
IPSP Annual Refresher.**

Click on certificate icon below and once the certificate appears, complete it, save it, and send it to your Staff Agency/Activity Security Coordinator.



(Certificate may take a few minutes to load)